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PATENT
Attorney Docket No.: SAM-0554
Customer No.: 29344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Seung-hwan Lee, *et al.*
Serial No.: 10/823,420
Filing Date: April 13, 2004
Title: MOS TRANSISTOR WITH ELEVATED SOURCE/DRAIN STRUCTURE
AND METHOD OF FABRICATING THE SAME

Examiner: Not yet assigned
Group Art Unit: 2811

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

11-9-04

Date

Vanessa Marakas
Vanessa Marakas

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Information Disclosure Statement;
2. Form(s) PTO-1449 and copies of cited references AA-AG and AL-AN; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: November 9, 2004
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
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Anthony P. Onello, Jr.
Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application other than a CPA under § 1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under § 1.114, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): Seung-hwan Lee, *et al.*
Serial No.: 10/823,420

Statement Under 37 C.F.R. 1.97(e)

- ☒ [X] Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ [] No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ [X] Copies of cited references are enclosed.
- ☐ [] Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ [] The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ [] The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for reference ____ is satisfied by:
- ☐ [] the explanation provided on the attached sheet.
- ☐ [] the explanation provided in the Specification.
- ☐ [] abstract.

It is requested the information disclosed herein be made of record in this application.

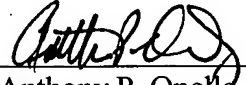
Applicant(s): Seung-hwan Lee, *et al.*
Serial No.: 10/823,420

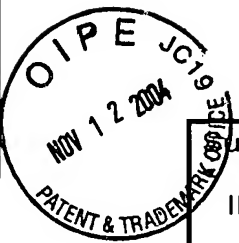
Method of payment:

- ☐ Enclosed is a check in the amount of _____.
- ☐ Please charge Deposit Account of 50-1798 in the amount of _____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: November 9, 2004
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Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



Subst. Form PTO-1449				Docket Number (Optional) SAM-0554		Application Number 10/823,420		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)				Applicant Seung-hwan Lee, et al.				
				Filing Date April 13, 2004		Group Art Unit 2811		
U. S. Patent Documents								
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	5,012,306	04/30/91	Tasch, Jr., et al.	357	23.4	09/22/89	
	AB	6,022,771	02/08/00	Ma, et al.	438	230	01/25/99	
	AC	6,235,568	05/22/01	Murthy, et al.	438	231	01/22/99	
	AD	6,277,677	08/21/01	Lee	438	142	04/10/00	
	AE	6,326,664	12/04/01	Chau, et al.	257	344	11/14/97	
	AF	6,445,042	09/03/02	Yu, et al.	257	369	10/13/00	
	AG	2001/0034085	10/25/01	Nakayama, et al.	438	140	03/30/01	
	AH							
	AI							
	AJ							
	AK							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
	AL	EP 0 480 446	12/10/90	Europe	H01L	21/336	X	
	AM	EP 0 780 907	25/06/97	Europe	H01L	29/78	X	
	AN	WO 03/075345	12/09/03	WO	H01L	21/8238	X	
	AO							
	AP							
	AQ							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AR							
	AS							
	AT							
EXAMINER					DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.								